

02/05/01
1c603 U.S. PTO

Please type a plus sign (+) inside this box → ☐

PTO/SB/05 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. NETD-0113-1

First Inventor Groat et al.

Title Financial Status Storage System

Express Mail Label No.

02/05/01
09/775469
11002 U.S. PTO

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 61]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 6]
5. Oath or Declaration [Total Pages]
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☒ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Associate P.O.A. & EDC sheet

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP)
Prior application information. Examiner Kanof

of prior application No.: 09, 172, 847
Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

or ☐ Correspondence address below

Name	Ajay A. Jagtiani 22506				
Address	10379-B Democracy Lane				
City	Fairfax	State	Virginia	Zip Code	22030
Country	USA	Telephone	703-591-2664	Fax	703-591-5907

Name (Print/Type)	Ajay A. Jagtiani	Registration No. (Attorney/Agent)	35,205
Signature	<i>Ajay A. Jagtiani</i>	Date	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL FORM

CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
	TOTAL CLAIMS	102-20	82	x\$18 =	\$1,476.00
	INDEPENDENT CLAIMS	6-3	3	x\$80 =	\$240.00
	MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+\$270 =	\$0
			BASIC FEE		\$710.00
			TOTAL OF ABOVE		\$2,426.00
	REDUCTION BY 50% FOR FILING BY SMALL ENTITY (note 37 C.F.R. §§ 1.9, 1.27, 1.28)				\$1,213.00
				TOTAL=	\$1,213.00

1. ☒ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27

☒ was filed in prior application number **09/172,847** filed October 15, 1998, and such status is still proper and desired (37 CFR 1.28(a)).

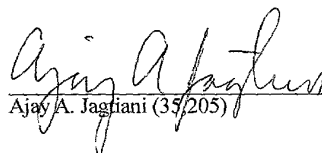
2. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required under 37 CFR 1.16 and 1.17, or credit any overpayment to Deposit Account No. **10-0233 NETD-0113-1**.

3. ☒ Cancel in this application original claims **2** through **191** of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

Address all future communications: (May only be completed by applicant or attorney/agent of record.)

JAGTIANI & ASSOCIATES
Democracy Square Business Center
10379-B Democracy Lane
Fairfax, Virginia 22030

February 5, 2001


Ajay A. Jagtiani (35/205)

- ☒ Attorney or Agent of Record
- ☐ Inventor(s)
- ☐ Assignee of Complete Interest
- ☐ Filed under § 1.34(a)

*** APPLDATA***

Application Data Sheet

2

Inventor Information

Inventor One Given Name :: Robert
Family Name :: Groat
Name Suffix ::
Postal Address Line One :: 45862 Aberdeen Lane
Postal Address Line Two ::
City :: Valley Lee
State/Province :: Maryland
Country ::
Postal or Zip Code :: 20692
Citizenship :: USA

Inventor Two Given Name :: Evan G..
Family Name :: Burfield
Name Suffix ::
Postal Address Line One :: 8042 Kidwell Court
Postal Address Line Two ::
City :: Vienna
State/Province :: Virginia
Country ::
Postal or Zip Code :: 22182
Citizenship :: USA

Inventor Three Given Name :: Chia Chen
Family Name :: Chang
Name Suffix ::
Postal Address Line One :: 804 Lawton Street
Postal Address Line Two ::
City :: McLean
State/Province :: Virginia
Country ::
Postal or Zip Code :: 22101
Citizenship :: USA

Inventor Four Given Name : Mark
Family Name :: Drissel
Name Suffix ::
Postal Address Line One :: 6314 Kiteline Court
Postal Address Line Two ::
City :: Columbia
State/Province :: Maryland
Country ::
Postal or Zip Code :: 21044
Citizenship :: USA

Inventor Five Given Name :: Matthew
Family Name :: Eichler
Name Suffix ::

Postal Address Line One :: 2629 Washington Blvd.
Postal Address Line Two ::
City :: Arlington
State/Province :: Virginia
Country ::
Postal or Zip Code :: 22201
Citizenship :: USA

Inventor Six Given Name :: Richard
Family Name :: Garrett
Name Suffix ::
Postal Address Line One :: 9852 Fairfax Square
Postal Address Line Two :: #225
City :: Fairfax
State/Province :: Virginia
Country ::
Postal or Zip Code :: 22031
Citizenship :: USA

Inventor Seven Given Name :: Neill
Family Name :: Kipp
Name Suffix ::
Postal Address Line One :: 4113 Elizabeth Lane
Postal Address Line Two ::
City :: Fairfax
State/Province :: Virginia
Country ::
Postal or Zip Code :: 22031
Citizenship :: USA

Inventor Eight Given Name :: Perry Russell
Family Name :: Rapp
Name Suffix ::
Postal Address Line One :: 48278 Keel Drive
Postal Address Line Two ::
City :: Lexington Park
State/Province :: Maryland
Country ::
Postal or Zip Code :: 20653
Citizenship :: USA

Correspondence Information

Correspondence Customer Number ::
Name Line One :: Ajay A. Jagtiani
Name Line Two :: Jagtiani & Associates
Address Line One :: Democracy Square Business Center
Address Line Two :: 10379-B Democracy Lane
City :: Fairfax

State/Province :: VA
Country ::
Postal or Zip Code :: 22030
Telephone :: (703) 591-2664
Fax :: (703) 591-5907
E-Mail :: IPLaw@Jagtiani.com

Application Information

Title Line One :: FINANCIAL STATUS STORAGE SYSTEM
Title Line Two ::
Total Drawing Sheets :: 6
Formal Drawings :: Y
Application Type :: Divisional
Docket Number :: NETD-0113-1
Licensed - U S Government Agency ::
Contract Number ::
Grant Number ::
Secrecy Order in Parent Application :: N

Representative Information

Representative Customer Number ::
Registration Number One :: 35,205
Registration Number Two :: 33,057

Continuity Information

This application is a :: Divisional of
> Application One :: 09/172,847
Filing Date :: October 15, 1998
Patent Number ::
which is a ::
>> Application Two ::
Filing Date ::
Patent Number ::